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## CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being submitted via the USPTO EFS Filing System; Mail Stop Amendment; Commissioner for Patents; P.O. Box 1450; Alexandria, VA 22313-1450.

Date: February 19, 2010

Rebecca A Veri

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application

Applicant:

Maruoka

Art Unit:

1624

Serial No.:

10/587,467

Examiner:

Brenda L. Coleman

Filed:

July 24, 2006

Title:

OPTICALLY ACTIVE QUATERNARY AMMONIUM SALT HAVING AXIAL ASYMMETRY AND PROCESS FOR PRODUCING  $\alpha$ -AMINO ACID AND

DERIVATIVE THEREOF WITH THE SAME

## **DECLARATION UNDER 37 C.F.R. § 1.132**

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

I, Dr. Keiji Maruoka, declare and say as follows:

I am the inventor of the claims of the above-identified patent application, and I am the listed sole inventor of the instant patent application. I hold a doctoral degree in chemistry awarded in 1980 from Kyoto University. I was appointed a professor of

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chemistry at Hokkaido University in 1995 and am currently serving as a professor of chemistry at Kyoto University since 2000.

I am one of four authors of the journal article by Kano et al published in Tetrahedron Asymmetry, vol. 15, pp 1243-45 (2004), which has been cited by the Examiner in the above-captioned patent application. The other authors of the Tetrahedron Asymmetry journal article are Mr. Kano, Mr. Shirakawa, and Mr. Konishi. During the time that experimental data was generated for the Tetrahedron Asymmetry journal article, Mr. Kano, Mr. Shirakawa, and Mr. Konishi were working under the direction, control, and supervision of myself, Dr. Keiji Maruoka, at my laboratory at Kyoto University. The work described in the Tetrahedron Asymmetry journal article originated with and is derived from the work of myself, Dr. Keiji Maruoka, the inventor of the claims of the above-identified patent application.

I, Keiji Maruoka, hereby declare that all the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued therein.

Keiji Maruoka

January 27, 2010

Date